



Ecological Resilience as a Foundation for Urban Design and Sustainability

*Resiliency in Ecology and Urban
Design: Linking Theory and Practice
for Sustainable Cities (2013)*

Jianguo Wu and Tong Wu

Introduction

As humans have transformed themselves from a predominantly agrarian to urban species, the world has become increasingly planned and designed (Wu 2008a, b). Human domination has become the prevailing theme in society's interactions with nature for more than two centuries, particularly since the Industrial Revolution in the eighteenth century. With growing human dominance in the biosphere, nature has become increasingly "domesticated" (Kareiva et al. 2007). As Herbert Simon (1996) put it, "The world we live in today is much more a man-made, or artificial, world than it is a natural world."

Our increasingly managed and designed ecosystems and landscapes are met with an increasing number of problems, which can be summarized in one word—unsustainable. Cities now account for about 75% of the energy use, 60% of the residential water use, 80% of the wood used for industrial purposes, and 80% of the greenhouse gas emissions of the entire world (Grimm et al. 2008; Newman et al. 2009). The environmental problems associated with urbanization have been well recognized in both the fields of ecology and design. In a broad sense, the state of the world is a consequence of the faulty design activities of humanity. . . .

A myriad of factors are responsible for the current unsustainable state of the world. Two of them are particularly relevant to mention here: our inadequate

or incorrect understanding of how nature works in science and our inadequate or misuse of ecological knowledge in action. Our perception of nature has often been shaped by myths and beliefs, such as the balance of nature, which has been an important background assumption in ecology (Botkin 1990; Pickett et al. 1992; Wu and Loucks 1992, 1995). Until recently, it was common to view biological populations, communities, and ecosystems as ordered systems that were kept at a constant stable equilibrium by homeostatic controls. This way of thinking may be attributed partly to the human tendency to seek order in everything, including nature (Wu and Loucks 1992, 1995). Also, confined by the balance of nature notion and the natural history tradition, mainstream ecology had long overlooked cities (Collins et al. 2000). Ecology and design did not seem compatible because almost everything that humans did to nature was perceived to be ecologically negative. For decades ecology was viewed as a “subversive science” because it was perceived as being the advocate of nature as against the actions of humans (Shepard and McKinley 1969; Kingsland 2005).

However, mounting evidence from ecological research in the past few decades indicates that nature is not in constant balance, but rather in eternal flux. This recent discovery has led to a fundamental transformation in ecological thinking from emphasizing equilibrium, homogeneity, and determinism to non-equilibrium, heterogeneity, and stochasticity—or a shift from the balance of nature/equilibrium paradigm to the hierarchical patch dynamics paradigm (Pickett et al. 1992; Wu and Loucks 1992, 1995). Wu and Loucks (1995) articulated five key elements of hierarchical patch dynamics: (1) ecological systems are spatially nested patch hierarchies, (2) dynamics of an ecological system can be studied as the composite dynamics of individual patches and their interactions, (3) pattern and process are scale dependent, (4) non-equilibrium and random processes are essential to ecosystem structure and function, and (5) ecological (meta)stability is often achieved through structural and functional redundancy and spatial and temporal incorporation of dynamic patches. Only recently have these ideas of patch dynamics been applied in urban ecological studies (e.g., Pickett et al. 1997; Grimm et al. 2000; Zipperer et al. 2000; Wu and David 2002) and begun to find their way into urban design (McGrath et al. 2007).

In general, ecological principles have not been adequately incorporated in the theory and practice of design and engineering, and those principles that are applied tend to be outdated (Holling 1987; Pickett et al. 2004). Holling (1996) identified four such misunderstandings in design sciences: (1) changes in ecosystem structure and function are continuous and gradual, (2) ecosystems are spatially uniform and scale invariant, (3) ecosystems have a single equilibrium point, with stabilizing functions to keep them at this homeostatic state, and

(4) policies and management practices based on such equilibrium-centered and “linear” thinking inevitably lead to applying fixed rules, looking for constant carrying capacity or constant sustainable yield, and ignoring scale dependence. To overcome these problems, resilience theory, an emerging body of ideas, principles, and knowledge for understanding, managing, and designing socio-ecological systems (Levin et al. 1998; Holling 2001; Walker and Salt 2006), can provide a comprehensive and powerful framework.

The objectives of this chapter, therefore, are to provide an overview of the essential elements of resilience theory, and then explore how it can guide the science and practice of urban design. We will elucidate the complex and adaptive properties of cities as socio-ecological systems, and examine why the agenda of urban sustainable development entails the adoption of resilience as a guiding principle.

Key Elements of Resilience Theory

The emerging theory of resilience, or resilience thinking, is based on several key concepts and ideas, including thresholds or tipping points, alternate stable states or regimes, regime shifts, complex adaptive systems, adaptive cycles, panarchy, and transformability (Holling 2001; Folke 2006; Walker and Salt 2006). In this section, we discuss how these concepts are defined and interpreted in the context of understanding and managing social-ecological systems.

What Is Resilience?

Engineering Resilience vs. Ecological Resilience

Resilience has been defined differently in ecology, with two contrasting connotations. Consistent with the classic ecological paradigm that presumes a single equilibrium state, the first connotation of resilience refers to the rapidity with which a system returns to its equilibrium after a disturbance, usually measured in time units (Innis 1975; Pimm 1984). In contrast, based on the observation that ecosystems often have multiple stable states, Holling (1973) defined resilience as the ability of a system to absorb change and disturbance without changing its basic structure and function or shifting into a qualitatively different state. The resilience concept based on multiple alternate states has been called “ecological resilience” or “ecosystem resilience,” which stresses persistence, change, and unpredictability (Holling 1996). It differs from the classical equilibrium-centered resilience concept, termed “engineering resilience,” which focuses on efficiency, constancy, and predictability (Holling 1996).

The modern discourse on resilience hinges on ecological, rather than engineering, resilience. More recent work has further expanded and elaborated

Holling's (1973) original definition of ecosystem or ecological resilience. These revisions usually include the system's abilities to self-organize and adapt to changes, and also contributions that make resilience more pertinent to social and social-ecological systems (e.g., Holling 1996, 2001; Levin et al. 1998; Carpenter et al. 2001; Folke 2006). For example, social resilience is defined as the ability of a human community to withstand, and to recover from, external environmental, socioeconomic, and political shocks or perturbations (Adger 2000). The popularization of the term resilience across disparate fields seems to have made it increasingly removed from its original ecological meaning and more ambivalent in some cases (Brand and Jax 2007). Much of the recent research on resilience has been done in association with the Resilience Alliance, an international network of scientists, practitioners, universities, and government and non-government agencies, which was established in 1999 to promote resilience research in social-ecological systems (<http://www.resalliance.org>).

Multiple Stable States, Thresholds, and Regime Shifts

A critical assumption behind the concept of ecological resilience is the existence of multiple stable states, also known as basins of attraction, multiple equilibria, or regimes (figure 7-5). Thresholds—a concept similar to tipping points—refer to the boundaries between the basins of attraction, crossing which leads the system to a different regime. Such transitions of social-ecological systems between alternate stable states are known as “regime shifts” (Scheffer et al. 2001; Folke 2006). Regime shifts may result in abrupt and dramatic changes in system structure and function in some cases, or more continuous and gradual changes in other situations (figure 7-5). Examples of regime shifts are ubiquitous in environmental and human systems. For instance, a grassland may change to a shrubland due to overgrazing or climate change that pushes the system over a threshold in terms of vegetation cover and soil properties (Walker and Salt 2006). A productive lake with clear water can quickly become turbid upon reaching a tipping point from a steady influx of pollutants (Carpenter et al. 1999; Scheffer et al. 2000). Such dynamics illustrate the interplay of “slow” versus “fast” variables in the nonlinear dynamics of social-ecological systems. A slow moving attribute, such as a gradual stream of pollutants, can cause rapid shifts into a new state that is more visibly captured by the fast variable, such as lake nutrient concentration. Nonlinear dynamics, and regime shifts in particular, can result in a substantial element of surprise.

Specified and General Resilience

A system's resilience can also be discussed in terms of “specified resilience” (or “targeted resilience”) and “general resilience” (Walker and Salt 2006; Walker

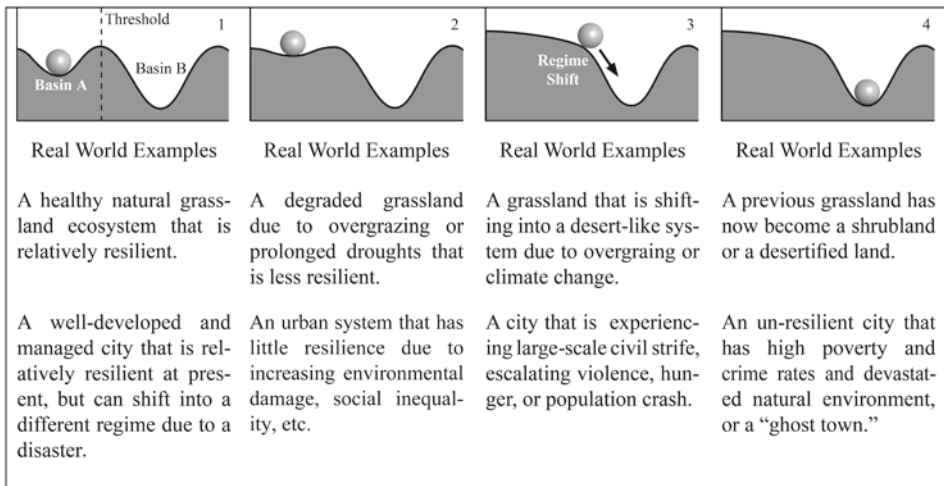


Figure 7-5 Illustration of some key concepts of ecological resilience (Wu and Wu, 2013, Reproduced with permission of Springer, Redrawn by Yuan Ren, 2014).

and Pearson 2007). Specified resilience is the resilience “of what, to what,” i.e., the resilience of a specified system response variable to a known disturbance (e.g., the resilience of human and ecosystem health to increased temperatures caused by urban heat islands). General resilience refers to the overall resilience of a system to withstand unforeseen disturbances, which does not specify any particular kind of shock or any particular system response variable. An example of this could be the overall capacity of a city to persist in a rapidly and unpredictably changing world. Walker and Salt (2006) have pointed out that specified resilience, although important, is not adequate alone, and that optimizing specified resilience may actually undermine the general resilience of a social-ecological system. This is mainly because too much focus on specified resilience tends to make the whole system less diverse, less flexible, and less responsive in terms of cross-sector actions (Walker and Salt 2006).

Complex Adaptive Systems

Recent developments in resilience research have emphatically recognized social-ecological systems as “Complex Adaptive Systems” (CAS). Insights from the study of CAS have been increasingly incorporated into the theory of resilience (Holling 2001; Walker and Salt 2006). While various definitions of CAS exist (Cowan et al. 1994; Holland 1995; Lansing 2003), the one by Levin (1999) has been widely used in the resilience literature: a complex adaptive system is “a system composed of a heterogeneous assemblage of types, in which structure

and functioning emerge from the balance between the constant production of diversity, due to various forces, and the winnowing of that diversity through a selection process mediated by local interactions."

Complex adaptive systems are characterized by self-organization, in which local interactions at small scales result in emergent patterns at larger scales. They are also characterized by adaptive processes, which typically produce multiple outcomes depending on accidents of history—a phenomenon known as "path dependence" (Kauffman 1993; Levin 1998, 1999). . . .

Natural, human, and coupled natural-human systems are complex adaptive systems (Holland 1995; Levin 1998, 1999; Holling 2001; Lansing 2003). Brown (1994) discussed five characteristics of ecosystems that make them prototypical examples of CAS: (1) a large number of components, (2) open and far-from-thermodynamic-equilibrium, maintained through exchanges of energy, materials, and information with the environment, (3) adaptive, i.e., able to respond to changes behaviorally or genetically, (4) irreversible histories, and (5) capable of a variety of complex, nonlinear dynamics. While human systems have features similar to these, they also possess at least three unique characteristics: foresight and intentionality, communication capacities, and technological advances that influence every aspect of human society (Holling 2001). As socio-ecological systems, cities represent a quintessential example of complex adaptive systems, which are heterogeneous in space, dynamic in time, and integrative in function (Wu and David 2002).

Adaptive Cycles and Panarchy

From the theory of resilience, complex adaptive systems often exhibit recurring dynamics, moving through four phases: (1) an *r* phase of growth or exploitation, (2) a *K* phase of conservation or consolidation, (3) an Ω phase of release or collapse, and (4) an α phase of reorganization or renewal. These four phases are collectively known as the adaptive cycle, which is represented commonly by a ∞ -shaped diagram (Holling 1986, 2001). While the *r* and *K* phases are two aspects of ecosystem dynamics that have long been studied in the context of ecological succession, the two additional phases were introduced into the adaptive cycle to highlight the importance of the interplay between growth and maintenance, between innovation and conservation, and between change and stability (Holling 1986, 2001).

Holling (1986) introduced the concept of the adaptive cycle with the example of ecosystem succession. After a disturbance an ecosystem starts recolonization and biomass accumulation with opportunistic and pioneer species (*r*-strategists) predominant in the early succession stage (*r* phase), and then gradually reaches maturity with locally competitive climax species (*K*-strategists) dominant in

the late succession stage (K phase). During this process, biomass and nutrients accrue and become progressively more bound within the existing vegetation, and the ecosystem becomes increasingly more connected in structure, more rigid in regulatory control, and thus more brittle as a whole. Thus, a system in the K phase is characterized by high capital (or potential for other use), over-connectedness, and rigidity, representing a period of “an accident waiting to happen” (Holling 2001). For example, disturbances such as fires, storms, or pest outbreaks may trigger an abrupt collapse of the ecosystem, during which the tight regulatory control is broken up and the resources accumulated in the transition from r to K phases are released in the Ω phase. This sudden collapse, also known as “creative destruction” (sensu Schumpeter 1950), leads to an open and loosely organized situation with abundant opportunities, high uncertainties, and strong external influences. Resources are mobilized, and the ecosystem starts the process of reorganization (α). This leads back to the r phase, but there is no guarantee that the ecosystem will return to its previous state. As the adaptive cycle unfolds, system resilience expands and contracts: resilience is high in the α phase when potential (or capital) and connectedness (or controllability) are low, and low in the Ω phase when potential and connectedness are high.

Ecosystems that are unblemished by human encroachment adhere to a natural and salubrious cycle of growth and renewal. Dramatic events such as wildfires, while destructive, unleash the potential for revitalization and are a boon to the system’s long-term health. Anthropogenic intrusions, however, can displace an ecosystem from its natural rhythm, resulting in collapses that are significantly more dramatic and potentially irreversible. In many parts of the United States, for instance, practices of fire suppression have disturbed naturally occurring fire regimes that are essential to the long-term health of forest ecosystems. Consequently, tree density and the accumulation of fuel loads now precipitate much more destructive fires that inflict long-term damage to both the ecosystem and adjacent communities (Covington 2000). . . .

Resilience and Sustainability

From a resilience perspective, sustainability is not about maintaining a system at its equilibrium state by reducing the variability in system dynamics or optimizing a system’s performance, but rather sustainability should focus on the system’s capacity to create and test opportunities and maintain adaptive capabilities (Holling 2001). Thus, resilience is the key to the sustainability in social-ecological systems (Walker and Salt 2006). This shift from a perspective oriented around stability, optimality and predictability to a perspective focusing on inherent uncertainty is in favor of a “risk management” approach to sustainability—avoiding potentially catastrophic regime shifts. Adaptability is

promoted by self-organization. Preserving the ability to self-organize in the face of disturbances is a crucial characteristic of resilient systems. Thus, we may argue that all sustainable systems must be resilient, but not necessarily always stable. Indeed, in the face of social and environmental disturbances—from changing climatic conditions to geopolitical struggles, destructive hurricanes to armed conflicts—the ability to self-organize and preserve system integrity is crucial to realizing long-term sustainable development.

From a panarchical perspective, sustainability is inherently a multiple-scale concept. To achieve sustainability is not to get stuck in the conservation phase within an adaptive cycle, but rather to maintain proper operations of all four phases within each cycle as well as harmonic linkages between adjacent cycles across scales in space, time, and organization. Through a panarchical analysis, we may identify breaking points at which a social-ecological system are more brittle and leverage points at which positive changes are most effective for fostering resilience and sustainability (Holling 2000). As the expanding scale of human enterprise generates more and more coupled socio-ecological systems on a range of scales, we expect that the resilience perspective will play an increasingly important role in the science and practice of sustainability.

Resilience Thinking of Urban Design and Urban Sustainability

Cities are quintessential examples of complex adaptive systems. . . . [E]cological resilience is the key to the sustainability of such systems. Several attempts have been made to apply the concept of resilience to urban systems in recent years (Pickett et al. 2004; Vale and Campanella 2005; Wallace and Wallace 2008). For example, Alberti et al. (2003) discussed urban resilience as “cities—the degree to which cities tolerate alteration before reorganizing around a new set of structures and processes.” Pickett et al. (2004) articulated the use of ecological (rather than engineering) resilience as a powerful metaphor for bridging ecology with urban planning. Vale and Campanella (2005) defined urban resilience as the capacity of a city to rebound from a disaster, which is an engineering resilience perspective as per Gunderson (2010).

Applying the theory of ecological resilience in urban design can result in design principles that are quite different from the traditional ones that emphasize stability, optimality, and efficiency. In this section, we explore several aspects of resilience thinking in the context of urban design and urban sustainability. These are neither specific guidelines nor actionable recipes for urban design, but rather are pointers that are useful for developing such guidelines and recipes for designing resilient cities.

Cities as Panarchies

Key to understanding the behavior of cities as complex adaptive systems is to study the interactions between spatial patterns and ecological and socioeconomic processes operating at differing temporal, spatial, and organizational scales. Thus, it is useful to think of cities as panarchies with nested adaptive cycles of characteristic scales in space and time. In an urban environment, panarchical dynamics, as illustrated through the example of fire in a forest ecosystem, also take place. For instance, a protest originally confined to a single neighborhood or locality may gain momentum and spread to other parts of the city, eventually evolving into a large-scale constructive reform or destructive revolt. The case of constructive reform is often indicative of a resilient political system that encourages healthy democratic participation and local feedbacks. The case of revolt may be due to a lack of social resilience, as law enforcement and the broader infrastructure fail to temper the contagion of uprising activities. Once the revolt has dissipated, administrators can rely on the social capital of the local community and the financial and political support from higher levels of government to clean up the resultant messes and help with reconstruction efforts. . . .

Climate change presents one of the greatest challenges to urban sustainability, which has cross-scale implications. With urban populations swelling, cities will continue to be the primary contributors of greenhouse gases to the atmosphere. As the planet warms, urban regions will then have to adapt to the consequences of the human-altered climate system, such as rising sea levels and higher occurrences of hurricanes. As we saw with the Asian Tsunami of 2004 and Hurricane Katrina of 2005, the effects of natural disturbances on heavily populated regions can be devastating. Thus, as the effects of urbanization continue to motivate biophysical changes at the global scale, resultant consequences of altered climatic conditions will feed back to create novel environmental conditions to which cities must inevitably adapt (Newman et al. 2009).

Connectedness, Modularity, and Tight Feedbacks

Resilient social-ecological systems usually have high diversity and individuality of components, local interactions, and an autonomous process that selects certain components for replication or enhancement based on the outcomes of the local interactions (Levin 1998, 1999; Holling 2001). Hierarchical or modular structure can facilitate all these three important features of complex adaptive systems. This has immediate implications for urban design. Cities can become more spatially homogenous when urbanized areas expand and coalesce. Correspondingly, a higher connectivity of the urban land cover can decrease modularity, resulting in more rapid distribution of the effects of a disturbance. . . .

Accounting for Nature's Services in Cities

As humanity becomes an increasingly urban enterprise, it is important to consider cities as socio-ecological systems, supported by ecosystem services. Ecosystem services refer to the benefits that humans derive from the natural environment, including provisioning services such as food and water; regulating services such as regulation of floods, drought, and disease; supporting services such as soil formation and nutrient cycling; and cultural services such as recreational, spiritual, religious and other nonmaterial benefits (Millennium Ecosystem Assessment 2005). The economic and social wellbeing of a society is inextricably tied to the availability of these ecosystem services or "natural capital." Urban development, however, can result in a significant loss of ecosystem services and thus a decrease in the city's cross-scale resilience.

Many urban ecosystem services are well-known to planners and city dwellers at large. Urban forests, for example, contribute numerous services such as air quality control and real estate appreciation (McPherson 1992; Wu 2008a, b). With regard to the pressing challenges of climate change, urban carbon sequestration is a service of great significance. While the importance of "natural" ecosystems such as forests and grasslands are well noted, there is less focus on the role of urban ecosystems in this regard. Recent studies have shown that urbanization of cities in arid environments can increase net primary production substantially (Buyantuyev and Wu 2009). This has significant implications for carbon sequestration capacity at a region scale. Another important way in which urban "nature" contributes to a city's wellbeing is in the form of "cultural services." Urban greenspaces, such as open and park-like spaces, are a hallmark of modern cities, offering a sense of place and opportunities for recreation. These spaces should be integrated into the urban context, and form a mainstay of social interactions and a diverse repository of species and other natural elements. These services should be considered in any sustainable design agenda (Chen and Wu 2009). To build resilient cities, urban designers and planners should properly account for nature's services to a city by investing in its natural capital. . . .

Developing Capacities for Urban Transformability

It is crucial to note that there can also be a negative dimension of having high resilience. A system can sometimes become resilient in a less desirable regime. For instance, urban regions besieged by impoverishment may be stuck in "poverty traps," where a suite of socioeconomic factors have induced a highly robust state of squalor. Low levels of education, endemism of substance abuse, and poor quality of governance can generate a series of tight feedback loops that prove immensely difficult to be overcome. The same genre of dynamics

can also affect rural regions, urban fringes, and other socio-ecological systems, manifesting in environmental degradation and the depletion of valuable ecosystem services. This is the case in many urban areas of the developing world, and illustrates that resilience can work as both a vehicle of sustainability and an agent of destitution. In such situations, the primary motivation of understanding resilience and employing adaptive strategies is reversed—sustainable development then means finding ways of overcoming the robustness of undesirable regimes.

The capacity to overcome the obstacles of an undesirable regime to create a fundamentally new system is called transformability (Walker et al. 2004; Folke 2006; Walker and Salt 2006). Configuring an entirely new system means introducing new state variables—the attributes and processes that determine the qualitative character of the system. For instance, when dealing with deep urban poverty traps of high robustness, “urban renewal” may call upon the obsolescence of the underlying social, political, or economic determinants of the current condition. Social pathologies such as rampant drug use or a fundamentally flawed educational system may underpin the squalor at hand, perpetuating vicious cycles of impoverishment and disenfranchisement. In this case, it may become necessary to overhaul the administrative and incentive structure of the city’s school districts, crack down on a multinational drug-based economy, and introduce rehabilitative opportunities to promote more productive activities.

Concluding Remarks

The world is dynamic, and change is ubiquitous. Cities, as prototypical complex adaptive systems, are not only dynamic but also self-organizing and actively adjusting to cope with change. These changes include a myriad of disturbances, some of which are known and predictable, but most of which are unforeseen and unpredictable. Urban design can play a critically important role in the self-organization and adaptive progression of cities. How urban design affects urban sustainability, however, depends heavily on design principles that are increasingly influenced by ecological theory. We have discussed that the traditional equilibrium paradigm in ecology presumes homogeneity, predictability, and inherent stability of ecosystems, suggesting that the focus of sustaining a system should be on keeping it at stasis. In sharp contrast, the hierarchical patch dynamics paradigm explicitly recognizes heterogeneity, nonlinearity, and multiple stable states, suggesting “flux of nature” and “order out of disorder” (Pickett et al. 1992; Wu and Loucks 1992, 1995). The ideas of heterogeneity, non-linearity, hierarchy, and multiple stable states are also essential in the theory of ecological resilience, which has emerged as a major approach to

understanding and managing social-ecological systems, including urban design. This theory suggests that, to design sustainable cities, our emphasis should be on creating and maintaining urban resilience—the ability of a city to persist without qualitative change in structure and function in spite of disturbances. Pickett et al. (2004) have argued that “cities of resilience” can be a powerful metaphor for drawing together insights from both ecology and planning.

What would a resilient city look like? We do not believe that there is a universal model. Nevertheless, we believe that the features of “a resilient world,” as envisioned by Walker and Salt (2006), may provide some clues:

1. **Diversity:** Promoting diversity in all its dimensions, from biological to economic, and encourage multiple components and resource uses to balance and complement homogenizing trends.
2. **Ecological variability:** Seeking to understand and work with the boundaries of the inherent variability of ecological and socio-ecological systems; attempting to tame such variability is often a recipe for disaster.
3. **Modularity:** Maintaining modularity can help hedge against dangers of low resilience caused by over-connectedness in system structure and function.
4. **Acknowledging slow variables:** Managing for resilience means understanding the “slow” or controlling variables that underpin the condition of a system, especially in relation to thresholds. By recognizing the importance of these critical variables, we can better avoid shifts to undesirable stable states and possibly enhance the capacity of a desirable regime to deal with disturbances.
5. **Tight feedbacks:** Tightening or maintaining the strength of feedback loops allows us to better detect thresholds. The weakening of feedback loops can result in an asymmetry between our actions and the consequences stemming from them. Salient examples of such dynamics include pollution and overconsumption.
6. **Social capital:** Promoting trust, social networks, and leadership to enhance the adaptive capacity for better dealing with the effects of disturbance.
7. **Innovation:** Embracing change through learning, experimentation, and promoting locally developed rules. Instead of narrowing our range of activities and opportunities, we should be seeking to explore and cultivate new ones.
8. **Overlap in governance:** Developing institutional arrangements that manage for cross-scale influences. Developing “redundancy” and overlap in governance frameworks enhances response diversity and flexibility.

9. Ecosystem services: Recognizing and accounting for ecosystem services when managing and designing for resilience. The benefits society derives from nature are regularly underpriced and ignored. Such services are often lost as socio-ecological systems shift into different, less desirable regimes.

At the heart of the resilience perspective on urban design is its focus on change instead of stasis—"to withstand change with adaptive change," not to deal with change by resisting or diminishing change. This is in the same spirit of "progress" as defined by Herbert Spencer (1857)—change underlies progress, which is "a beneficent necessity." Resilience theory suggests that what underlies a truly resilient city is not how stable it has appeared or how many little disturbances it has absorbed, but whether it can withstand an unforeseen shock that would fundamentally alter or erase the city's identity. For modern cities to be truly sustainable, therefore, urban design must explicitly account for the influence of both internal and external changes. Only by viewing urban regions as complex socio-ecological systems with feedback loops, cross-scale interactions, and inherent uncertainties can we design resilient cities. We argue that in applying the key ideas and principles of resilience, it is important to think of the seemingly opposing processes, such as change vs. stability, creativity vs. conservation, and flexibility vs. efficiency, not as paradoxes but dialectical duals that must coexist to achieve a synthesis of urban resilience.

References

- Adger, W. Neil. "Social and Ecological Resilience: Are They Related?" *Progress in Human Geography* 24, no. 3 (2000): 347–64.
- Alberti, Marina, John M. Marzluff, Eric Shulenberger, Gordon Bradley, Clare Ryan, and Craig Zumbunnen. "Integrating Humans into Ecology: Opportunities and Challenges for Studying Urban Ecosystems." *BioScience* 53: 1169–79.
- Botkin, Daniel B. *Discordant Harmonies: A New Ecology for the Twenty-First Century*. Oxford: Oxford University Press, 1990.
- Brand, Fridolin Simon, and Kurt Jax. "Focusing the Meaning(s) of Resilience: Resilience as a Descriptive Concept and a Boundary Object." *Ecology and Society* 12, no. 1 (2007): 23.
- Brown, James. "Complex Ecological Systems." In *Complexity: Metaphors, Models, and Reality*, edited by George Cowan, David Pines, and David Meltzer. Reading: Addison-Wesley, 1994.
- Buyantuyev, A., and J. Wu. "Urbanization Alters Spatiotemporal Patterns of Ecosystem Primary Production: A Case Study of the Phoenix Metropolitan Region, USA." *Journal of Arid Environments* 73, no. 4 (2009): 512–20.
- Carpenter, Stephen R., Carl Folke, Marten Scheffer, and Frances Westley. "Resilience: Accounting for the Noncomputable." *Ecology & Society* 14, no. 1 (2009): 13.
- Carpenter, Stephen R., Donald Ludwig, and William A. Brock. "Management of Eutrophication for Lakes Subject to Potentially Irreversible Change." *Ecological Applications* 9, no. 3 (1999): 751–71.

- Carpenter, Steve, Brian Walker, J. Marty Anderies, and Nick Abel. "From Metaphor to Measurement: Resilience of What to What?" *Ecosystems* 4, no. 8 (2001): 765–81.
- Chen, Xiangqiao, and Jianguo Wu. "Sustainable Landscape Architecture: Implications of the Chinese Philosophy of 'Unity of Man with Nature' and Beyond." *Landscape Ecology* 24, no. 8 (2009): 1015–26.
- Collins, James P., Ann Kinzig, Nancy B. Grimm, William F. Fagan, Diane Hope, Jianguo Wu, and Elizabeth T. Borer. "A New Urban Ecology Modeling Human Communities as Integral Parts of Ecosystems Poses Special Problems for the Development and Testing of Ecological Theory." *American Scientist* 88, no. 5 (2000): 416–25.
- Covington, William Wallace. "Helping Western Forests Heal." *Nature* 408, no. 6809 (2000): 135–36.
- Cowan, G. A., D. Pines, and D. Meltzer. *Complexity: Metaphors, Models, and Reality*. Reading: Perseus Books, 1994.
- Dasgupta, Partha, and Ismail Serageldin. *Social Capital: A Multifaceted Perspective*. Washington, DC: World Bank Publications, 2001.
- Folke, Carl. "Resilience: The Emergence of a Perspective for Social-Ecological Systems Analyses." *Global Environmental Change* 16, no. 3 (2006): 253–67.
- Glanville, Ranulph. "Researching Design and Designing Research." *Design Issues* 13 (1999): 80–91.
- Grimm, Nancy B., J. Morgan Grove, Steward T.A. Pickett, and Charles L. Redman. "Integrated Approaches to Long-Term Studies of Urban Ecological Systems." *BioScience* 50, no. 7 (2000): 571–84.
- Grimm, Nancy B., Stanley H. Faeth, Nancy E. Golubiewski, Charles L. Redman, Jianguo Wu, Xuemei Bai, and John M. Briggs. "Global Change and the Ecology of Cities." *Science* 319, no. 5864 (2008): 756–60.
- Gunderson, Lance H. "Resilience in Theory and Practice." *Annual Review of Ecology and Systematics* (2000): 425–39.
- . *Panarchy: Understanding Transformations in Human and Natural Systems*. Washington, DC: Island Press, 2002.
- . "Ecological and Human Community Resilience in Response to Natural Disasters." *Ecology and Society* 15, no. 2 (2010): 18.
- Holland, John Henry. *Hidden Order: How Adaptation Builds Complexity*. Reading: Perseus Books, 1995.
- Holling, Crawford S. "Engineering Resilience versus Ecological Resilience." *Foundations of Ecological Resilience* (1996): 51–66.
- . "Resilience and Stability of Ecological Systems." *Annual Review of Ecology and Systematics* 4 (1973): 1–23.
- . "Simplifying the Complex: The Paradigms of Ecological Function and Structure." *European Journal of Operational Research* 30, no. 2 (1987): 139–46.
- . "The Resilience of Terrestrial Ecosystems: Local Surprise and Global Change." *Sustainable Development of the Biosphere* (1986): 292–317.
- . "Theories for Sustainable Futures." *Conservation Ecology* 4, no. 2 (2000): 7.
- . "Understanding the Complexity of Economic, Ecological, and Social Systems." *Ecosystems* 4, no. 5 (2001): 390–405.
- Innis, George. "Stability, Sensitivity, Resilience, Persistence. What Is of Interest?" *Ecosystem Analysis and Prediction* (1975): 131–40.
- Kareiva, Peter, Sean Watts, Robert McDonald, and Tim Boucher. "Domesticated Nature: Shaping Landscapes and Ecosystems for Human Welfare." *Science* 316, no. 5833 (2007): 1866–69.
- Kaufmann, S. A. *The Origins of Order*. Oxford: Oxford University Press, 1993.
- Kingsland, Sharon E. *The Evolution of American Ecology: 1890–2000*. Johns Hopkins University Press, 2005.
- Lansing, J. Stephen. "Complex Adaptive Systems." *Annual Review of Anthropology* (2003): 183–204.

- Levin, Simon A. "Ecosystems and the Biosphere as Complex Adaptive Systems." *Ecosystems* 1, no. 5 (1998): 431–36.
- . "Learning to Live in a Global Commons: Socioeconomic Challenges for a Sustainable Environment." *Ecological Research* 21, no. 3 (2006): 328–33.
- . *Fragile Dominion: Complexity and the Commons*. Reading: Perseus, 1999.
- Levin, Simon A., Scott Barrett, Sara Aniyar, William Baumol, Christopher Bliss, Bert Bolin, Partha Dasgupta, et al. "Resilience in Natural and Socioeconomic Systems." *Environment and Development Economics* 3, no. 2 (1998): 222–36.
- McGrath, B., M. L. Cadenasso, J. M. Grove, V. Marshall, S. T. A. Pickett, and J. Towers. *Designing Patch Dynamics*. New York: Graduate School of Architecture, Planning and Preservation of Columbia University, 2007.
- McPherson, Gregory E. "Accounting for Benefits and Costs of Urban Greenspace." *Landscape and Urban Planning* 22, no. 1 (1992): 41–51.
- Millennium Ecosystem Assessment. *Ecosystems and Human Well-Being: Biodiversity Synthesis*. Washington DC: Island Press, 2005.
- Newman, Peter, Timothy Beatley, and Heather Boyer. *Resilient Cities: Responding to Peak Oil and Climate Change*. Washington, DC: Island Press, 2009.
- Ostrom, Elinor. "A General Framework for Analyzing Sustainability of Social-Ecological Systems." *Science* 325 (2009): 419–22.
- Pendall, Rolf, and Jonathan Martin. *From Traditional to Reformed: A Review of the Land Use Regulations in the Nation's 50 Largest Metropolitan Areas*. Washington, DC: The Brookings Institution, 2006.
- Pickett, Steward T.A., and Mary L. Cadenasso. "The Ecosystem as a Multidimensional Concept: Meaning, Model, and Metaphor." *Ecosystems* 5, no. 1 (2002): 1–10.
- Pickett, Steward T.A., Mary L. Cadenasso, and J. Morgan Grove. "Resilient Cities: Meaning, Models, and Metaphor for Integrating the Ecological, Socio-Economic, and Planning Realms." *Landscape and Urban Planning* 69, no. 4 (2004): 369–84.
- Pickett, Steward T.A., V. Thomas Parker, and Peggy L. Fiedler. "The New Paradigm in Ecology: Implications for Conservation Biology above the Species Level." In *Conservation Biology*, 65–88. Springer, 1992.
- Pickett, Steward T.A., William R. Burch Jr., Shawn E. Dalton, Timothy W. Foresman, J. Morgan Grove, and Rowan Rowntree. "A Conceptual Framework for the Study of Human Ecosystems in Urban Areas." *Urban Ecosystems* 1, no. 4 (1997): 185–99.
- Pimm, Stuart L. "The Complexity and Stability of Ecosystems." *Nature* 307, no. 5949 (1984): 321–26.
- Redman, Charles L. *Human Impact on Ancient Environments*. Tucson: University of Arizona Press, 1999.
- Rockström, Johan, Will Steffen, Kevin Noone, Åsa Persson, F. Stuart Chapin, Eric F. Lambin, Timothy M. Lenton, et al. "A Safe Operating Space for Humanity." *Nature* 461, no. 7263 (2009): 472–75.
- Scheffer, Marten, Steve Carpenter, Jonathan A. Foley, Carl Folke, and Brian Walker. "Catastrophic Shifts in Ecosystems." *Nature* 413, no. 6856 (2001): 591–96.
- Scheffer, Marten, William Brock, and Frances Westley. "Socioeconomic Mechanisms Preventing Optimum Use of Ecosystem Services: An Interdisciplinary Theoretical Analysis." *Ecosystems* 3, no. 5 (2000): 451–71.
- Schumpeter, Joseph A. *Capitalism, Socialism, and Democracy*. New York: Harper & Row, 1950.
- Shepard, Paul, and Daniel McKinley. *Subversive Science: Essays toward an Ecology of Man*. Boston: Houghton Mifflin, 1969.
- Simon, Herbert Alexander. *The Sciences of the Artificial*. Cambridge: MIT Press, 1996.
- Spencer, Herbert. *Progress: Its Law and Cause. Essays: Scientific, Political and Speculative*. (Reprinted in 1915) New York: Appleton, 1857.
- Vale, Lawrence J., and Thomas J. Campanella. *The Resilient City: How Modern Cities Recover from Disaster*. New York: Oxford University Press, 2005.

- Van der Ryn, Sim. *Ecological Design*. Washington, DC: Island Press, 1995.
- Walker, Brian H., and Leonie Pearson. "A Resilience Perspective of the SEEA." *Ecological Economics* 61, no. 4 (2007): 708-15.
- Walker, Brian H., and David Salt. *Resilience Thinking: Sustaining Ecosystems and People in a Changing World*. Washington, DC: Island Press, 2006.
- Walker, Brian H., Crawford S. Holling, Stephen R. Carpenter, and Ann Kinzig. "Resilience, Adaptability and Transformability in Social-Ecological Systems." *Ecology and Society* 9, no. 2 (2004): 5.
- Wallace, Deborah, and Rodrick Wallace. "Urban Systems during Disasters: Factors for Resilience." *Ecology and Society* 13, no. 1 (2008): 18.
- Wu, Jianguo. "Making the Case for Landscape Ecology an Effective Approach to Urban Sustainability." *Landscape Journal* 27, no. 1 (2008): 41-50.
- . "Hierarchy and Scaling: Extrapolating Information along a Scaling Ladder." *Canadian Journal of Remote Sensing* 25, no. 4 (1999): 367-80.
- . "Toward a Landscape Ecology of Cities: Beyond Buildings, Trees, and Urban Forests." In *Ecology, Planning, and Management of Urban Forests*, 10-28. New York: Springer, 2008.
- Wu, Jianguo, and John L. David. "A Spatially Explicit Hierarchical Approach to Modeling Complex Ecological Systems: Theory and Applications." *Ecological Modelling* 153, no. 1 (2002): 7-26.
- Wu, Jianguo, and Orie L. Loucks. "From Balance of Nature to Hierarchical Patch Dynamics: A Paradigm Shift in Ecology." *Quarterly Review of Biology* (1995): 439-66.
- Zipperer, Wayne C., Jianguo Wu, Richard V. Pouyat, and Steward T.A. Pickett. "The Application of Ecological Principles to Urban and Urbanizing Landscapes." *Ecological Applications* 10, no. 3 (2000): 685-88.